

PTO/SB/05 (6-95)

Approved for use through 11/30/98 OMB 0651-0032 Patent and Trademark Office: US Department of Commerce

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0092/RTO 6/95 NEW	US Department of Commerce Patent and Trademark Office	Attorney Docket Number	ARC 2630
NEW UTILITY PATENT		First Named Inventor	Venkatraman, Subramanian S., et al.
	ATION TRANSMITTAL	Total Pages in this Submission	

7	Al	PPLICATION ELEMENTS						
Note: Checklist items mentioned under Application Elements section construct a new utility patent application. Please refer to MPEP Sections 506,601, (37 CFR 1.77, 1.53, 35 USC 111, 112, 113) for detailed explanation regarding completeness of an original patent application.		ACCOMPANYING APPLICATION PARTS						
1.	Fee Transmittal Form (prescribed filing fee(s))			6. Assignment Papers				
2.	Specification			7. Certified Copy of Priority Document(s) (if foreign priority is claimed)				
	Title of the Invent	tion		8. Computer Program in Microfiche				
· 🗆	Cross Reference	s to Related Applications (if applicable)		9. English Translation Document (if applicable)				
	Statement Regar applicable)	ding Federally-sponsored Research/Develo	pment (if	10. Information Disclosure Copies of IDS Statement/PTO-1449 Citations				
	Reference to Mic	rofiche Appendix (if applicable)		11. Petition Checklist and Accompanying Petition				
	Background of th	e Invention		12. Preliminary Amendment				
	Brief Summary of	f the Invention		13. Proprietary Information				
	Brief Description	of the Drawings (if drawings filed)		14. Return Receipt Postcard				
	Detailed Description			15. Small Entity Statement				
	Claim or Claims			16. Additional Enclosures (please identify below)				
	Abstract of the Disclosure							
3. 🔀	3. Drawing(s) (when necessary as prescribed by 35 USC 113)							
4. 🔀	Executed Declara	ation						
5.	Genetic Sequenc	e Submission (if applicable, all must be incl						
	Paper Copy							
Computer Readable Copy								
Statement Verifying Identical Paper and Computer Readable Copy			·					
ADDRESS OF APPLICANT, ATTORNEY, OR AGENT			SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT					
ALZA Corporation		Firm or						
950 Page Mill Road		Individual name D. Byron Miller						
	P.O. Box 10950 Palo Alto, CA 94303-0802		Signature					
(650) 496-8150								
			Date December 9, 1997					
Applie	ation Number	. FOI		AL USE ONLY Independent Claims:				
	ation Number of Receipt	Application Type:	Class: GAU:	Total Claims:				
Date		Filing Date:		Filing License?: Drawing Sheets:				
				Address?: Special Handling?:				

SEND TO: Box PATENT APPLICATION, Assistant Commissioner for Patents, Washington, DC 20231.

CERTIFICATE OF MAILING OR TRANSMISSION

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Henrietta Votaw

US PATENT AND TRADEMARY OFFICE

PTO/SB/17 (6-95)
Patent and Trademark Office: US Department of Commerce

0002/PTO US Department of Commerce			Complete if Known			
Rev. 10/95	. 10/95 Patent and Trademark Office		Application Number	ARC 2630		
FEE TRANSMITTAL		Filing Date				
		First Named Inventor	Venkatraman, et al.			
		Group Art Unit				
Total Amour	nt of Payment	(\$)1390.00	Examiner Name			
			Attorney Docket Number	ARC 2630		

METHOD OF PAYMENT (check one) FEE CALCULATION (continued)					d)			
1 The Commissioner is hereby authorized to charge indicated fees		DDITIC	ONAL FEES				-	
and credit any over payments to:	Large	Ent	ity Small	Entit	у			
Deposit	Fee	Fee		Fee	Fee		Fee Paid	
Account	Code	(\$)	Code	(\$)	Description			
Number: 01-1173	105	130	205	65	Surcharge-late filing or oath	fee		
Deposit Account	127	50	227	25	Surcharge-late provi	Surcharge-late provisional filing fee or cover sheet.		
Name: ALZA Corporation	139	130	139	130	Non-English specific			
	147	2,520		2,520	For filing a request for			
Charge Any Additional Charge the Issue Fee Set	1	_,		_,	reexamination			
Fee Required Under in 37 CFR 1.18 at the 37 CFR 1.16 and 1.17 Mailing of the Notice of	112	920	112	920*	Requesting publicati prior to Examiner act			
Allowance, 37 CFR 1.311(b)	113	1,840	* 113	1,840*		on of SIR		
	115	110	215	55		Extension for response within		
2 Payment Enclosed:	116	400	216	200	Extension for respon	se within		
Check Money Order Other	117	950	217	475	Extension for respon	second month Extension for response within		
FEE CALCULATION (fees effective 10/1/97)	118	1,510	218	755		third month Extension for response within		
1 FILING FEE	1 ,				fourth month			
1	119	310		155	Notice of Appeal			
Large Entity Small Entity	120	310	220	155	Filing a brief in suppo an appeal	ort of		
Fee Fee Fee Fee Fee Paid	121	270	221	135	Request for oral hea	rina		
Code (\$) Code (\$) Description	138	1,510		1,510	Petition to institute a			
		-			use proceeding	•		
101 790 201 395 Utility filing 790.00 106 330 206 165 Design filing fee	140	110	240	55	Petition to revive una abandoned application		·	
107 540 207 270 Plant filing fee	141	1,320	241	660	Petition to revive			
108 790 208 395 Reissue filing fee					unintentionally aband	doned		
114 150 214 75 Provisional filing fee	l	4 000			application			
CURTOTAL (4) (6) 700 00	142	1,320		660	Utility issue fee (or re	eissue)		
SUBTOTAL (1) (\$) 790.00	143 144	450 670		225 335	Design issue fee Plant issue fee			
	122	130		130	Petitions to the Com	missioner		
2 CLAIMS Fee from	123	50		50	Petitions related to p			
Extra below Fee Paid					applications		•	
	126	240	126	240	Submission of Inform	nation		
Total Claims 38 -20 = 18 x 22 = 396.00	l				Disclosure Statemen			
Indonesia	581	40	581	40	Recording each pate			
Independent Claims					assignment per prop (x no. properties)	erty	40.00	
Claims 3 -3 - 2 x 62 - 104.00	146	790	246	395	Filing a submission a	fter	40.00	
Multiple Dependent Claims x = 0.00	''"		2.10	-	final rejection			
					(37 CFR 1.129(a))			
Large Entity Small Entity	149	790	249	395	For each additional in	nvention		
Fee Fee Fee Fee					to be examined			
Code (\$) Code (\$) Fee Description					(37 CFR 1.129(b))			
103 22 203 11 Claims in excess of 20 102 82 202 41 Independent claims in excess of 3	Other f	aa lena	cify)					
102 62 202 41 Independent claims in excess or 3 104 270 204 135 Multiple dependent claim	Outer to	ee (spe	Giy <i>j</i>				-	
109 82 209 41 Reissue independent claims over								
original patent	Other fo	ee (spe	cify)					
110 22 210 11 Reissue claims in excess of 20 and over original patent					SUBTOTAL (3)	(\$)	40.00	
			Basic Filing F	ee Paid		.,,		
SUBMITTED BY					Complete (if applicable	e)		
Typed or Printed Name D. Byron/Willer					Reg. Number:	30,661		
				_ +	Deposit Account			
Signature	Dat	te:	December 1997	9.	User ID	01-1173		

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